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## Authors

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# A phase 1/2 study of the oral FLT3 inhibitor pexidartinib in relapsed/refractory FLT3-ITD–mutant acute myeloid leukemia

Catherine C. Smith,<sup>1</sup> Mark J. Levis,<sup>2</sup> Olga Frankfurt,<sup>3</sup> John M. Pagel,<sup>4</sup> Gail J. Roboz,<sup>5</sup> Richard M. Stone,<sup>6</sup> Eunice S. Wang,<sup>7</sup> Paul L. Sevenson,<sup>8</sup> Brian L. West,<sup>8</sup> Mai H. Le,<sup>8</sup> Sabine Kayser,<sup>9,10</sup> Bao Lam,<sup>8</sup> Henry H. Hsu,<sup>8</sup> Chao Zhang,<sup>8</sup> Gideon Bollag,<sup>8</sup> and Alexander E. Perl<sup>11</sup>

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## Key Points

- Pexidartinib is safe and well tolerated in relapsed/refractory AML patients.
- Pexidartinib, a type 2 inhibitor of FLT3, including F691 gatekeeper mutations, has clinical activity in FLT3-ITD–mutant AML patients.

FMS-like tyrosine kinase 3 (FLT3) tyrosine kinase inhibitors (TKIs) have activity in acute myeloid leukemia (AML) patients with FLT3 internal tandem duplication (ITD) mutations, but efficacy is limited by resistance-conferring kinase domain mutations. This phase 1/2 study evaluated the safety, tolerability, and efficacy of the oral FLT3 inhibitor PLX3397 (pexidartinib), which has activity against the FLT3 TKI-resistant F691L gatekeeper mutation in relapsed/refractory FLT3-ITD–mutant AML. Ninety patients were treated: 34 in dose escalation (part 1) and 56 in dose expansion (part 2). Doses of 800 to 5000 mg per day in divided doses were tested. No maximally tolerated dose was reached. Plasma inhibitory assay demonstrated that patients dosed with  $\geq 3000$  mg had sufficient levels of active drug in their trough plasma samples to achieve 95% inhibition of FLT3 phosphorylation in an FLT3-ITD AML cell line. Based on a plateau in drug exposure, the 3000-mg dose was chosen as the recommended phase 2 dose. The most frequently reported treatment-emergent adverse events were diarrhea (50%), fatigue (47%), and nausea (46%). Based on modified response criteria, the overall response rate to pexidartinib among all patients was 21%. Twenty-three percent of patients treated at  $\geq 2000$  mg responded. The overall composite complete response rate for the study was 11%. Six patients were successfully bridged to transplantation. Median overall survival (OS) of patients treated in dose expansion was 112 days (90% confidence interval [CI], 77-150 days), and median OS of responders with complete remission with or without recovery of blood counts was 265 days (90% CI, 170-422 days). This trial was registered at [www.clinicaltrials.gov](http://www.clinicaltrials.gov) as #NCT01349049.

## Introduction

Mutations in the FMS-like tyrosine kinase 3 (*FLT3*) gene are the most frequently found mutations in acute myeloid leukemia (AML).<sup>1,2</sup> Constitutively activating internal tandem duplication (ITD) mutations are the most commonly identified mutations in *FLT3*. ITD mutations occur in ~20% to 25% of AMLs and confer poor prognosis.<sup>3,4</sup> Another 5% to 10% of AMLs are associated with point mutations in the FLT3 tyrosine kinase domain (KD), particularly at the activation loop residue D835.<sup>5,6</sup> In recent years, a variety of tyrosine kinase inhibitors (TKIs) of FLT3 have entered clinical development in AML, with variable success. Midostaurin, a multitargeted inhibitor, demonstrated little activity as monotherapy<sup>7</sup> but significantly prolonged survival compared with placebo when added to induction chemotherapy in newly

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All data and the full clinical trial protocol will be made available upon request by contacting corresponding author Catherine C. Smith ([catherine.smith@ucsf.edu](mailto:catherine.smith@ucsf.edu)).

The full-text version of this article contains a data supplement.  
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diagnosed patients with *FLT3* mutations.<sup>8</sup> This clinical activity led to US Food and Drug Administration (FDA) approval of midostaurin in newly diagnosed *FLT3*-mutant AML. More potent and selective next-generation *FLT3* inhibitors such as quizartinib<sup>9,10</sup> and gilteritinib<sup>11</sup> have entered clinical development in AML and, in contrast to midostaurin, have demonstrated high response rates as monotherapy in relapsed/refractory (R/R) patients with *FLT3* mutations. Both quizartinib<sup>12</sup> and gilteritinib<sup>13</sup> have also demonstrated a survival benefit when compared with salvage chemotherapy in R/R *FLT3*-mutant AML patients, leading to the recent FDA approval of gilteritinib for this indication. Despite this, survival in this R/R group of patients remains limited by the development of therapeutic resistance.

Despite leading to composite complete remission (CRc) rates of 40% to 50%<sup>9,10</sup> in R/R *FLT3*-ITD<sup>+</sup> AML, quizartinib is highly vulnerable to acquired resistance-conferring mutations in the *FLT3* KD, which occur at the activation loop residue D835 and the kinase gatekeeper residue F691.<sup>14</sup> Mutations at these residues have also been implicated in resistance to other *FLT3*-targeted TKIs, including sorafenib<sup>15,16</sup> and sunitinib.<sup>15</sup> Type 1 *FLT3* inhibitors, such as crenolanib and gilteritinib, which bind to the *FLT3* active kinase conformation, have been developed to combat quizartinib resistance-conferring D835 mutations and have demonstrated clinical activity against cases with D835 mutations, with and without cooccurring *FLT3*-ITD mutations.<sup>11,17</sup> However, both crenolanib<sup>18,19</sup> and gilteritinib<sup>20,21</sup> are vulnerable to resistance induced by F691 mutations. Patients treated with either crenolanib or gilteritinib therapy have developed F691L mutations as a cause of clinical resistance.<sup>19,21</sup> At this time, limited treatment options exist for patients with *FLT3* TKI resistance resulting from F691 mutations. The ABL/*FLT3* inhibitor ponatinib, which was rationally designed to inhibit the BCR-ABL gatekeeper mutation T315I, has demonstrated equivalent *in vitro* activity against the analogous *FLT3* F691I mutation but has shown decreased efficacy against the more clinically relevant F691L substitution.<sup>22</sup> Ponatinib did induce bone marrow responses in 3 of 12 R/R AML patients in a phase 1 clinical trial<sup>23</sup>; however, no responding patients were known to express *FLT3* F691 mutations, and the activity of ponatinib in *FLT3*-ITD-mutant AML, with or without F691 mutations, has not been confirmed in larger clinical trial experience. Cabozantinib, a multi-kinase inhibitor of *FLT3*, MET, AXL, KIT, and VEGFR, has shown *in vitro* activity against *FLT3* F691 mutations, but no bone marrow responses were observed in a dose-escalation clinical trial in AML.<sup>24</sup> The common development of resistance-causing *FLT3* KD mutations in patients who respond to and relapse on *FLT3*-targeted therapy underscores the central importance of *FLT3* signaling in these patients. However, even with the development of *FLT3* TKIs with expanded activity against *FLT3* KD mutations, there continues to be no established therapeutic option for patients in whom *FLT3* TKI therapy fails because of F691 mutations.

Pexidartinib (formerly PLX3397) is a selective small-molecule kinase inhibitor of CSF1R, KIT, and *FLT3*-ITD, with a novel chemical structure comprising a pyridine (rather than phenyl) middle ring and a methyl amine (rather than amide or urea) linker, designed to retain binding to *FLT3* with a F691L mutation. Based on its activity against CSF1R, pexidartinib was recently approved for the treatment of advanced tenosynovial giant cell tumor, a neoplasm the growth of which is related to CSF1R. In preclinical studies, pexidartinib has demonstrated equipotent activity in cells expressing *FLT3*-ITD/

F691L mutations compared with cells expressing *FLT3*-ITD alone, although it remains vulnerable to *FLT3* D835 mutations.<sup>25</sup> To assess the safety and efficacy of pexidartinib in R/R *FLT3*-ITD-mutant AML, we conducted a phase 1/2 open-label, sequential dose-escalation study followed by a cohort expansion at the recommend phase 2 dose (RP2D) of continuous oral administration of pexidartinib in 28-day cycles.

## Patients and methods

### Study design

This open-label phase 1/2 study evaluated the safety and tolerability of continuous oral administration of pexidartinib in R/R AML patients with *FLT3*-ITD mutations. The study consisted of a dose-escalation phase to determine the maximum tolerated dose (MTD)/RP2D, followed by a dose-expansion cohort treated at the RP2D. Patients received pexidartinib orally twice daily in a capsule formulation (100 or 200 mg per capsule) on days 1 through 28 of each 28-day cycle, beginning on cycle 1 day 1 (C1D1). Dose escalation followed a standard 3 + 3 dose-escalation design, based on dose-limiting toxicities (DLTs) during the first 15 days of pexidartinib therapy. A DLT was defined as any adverse event (AE) with a Common Terminology Criteria for Adverse Events (version 4) grade  $\geq 3$  severity that was not due to AML and occurred within the first 15 days of treatment initiation. No hematologic DLTs were defined for this protocol. The following doses were administered in part 1 of the study: 800, 1000, 1200, 1400, 2000, 3000, 4000, and 5000 mg per day in split doses. The RP2D was the MTD or the dose level that achieved maximal plasma concentration if an MTD was not reached. Each patient was offered continued dosing with pexidartinib as long as it was well tolerated and the patient was clinically benefitting from treatment. Patients in part 1 and part 2 who were tolerating the study drug but who had evidence of tumor progression at C1D15 remained on study treatment until the C2D1 assessment. Patients continued study drug until unacceptable toxicity or DLT, disease progression or relapse, patient death, or patient decision. Patients who discontinued for reasons other than withdrawal of consent were followed up every 3 months (for up to 2 years) for disease, treatment, and survival status. For part 1, hydroxyurea was permitted at any time, at a dose of  $\leq 5$  g per day. For part 2, hydroxyurea was permitted only during the first 2 weeks of treatment, at a dose of  $\leq 5$  g per day. For patients in part 1, dose reductions and interruptions were permitted during the first 15 days of cycle 1 only if a patient experienced a DLT. If a patient experienced a DLT, treatment continuation at a lower dose was permitted at the discretion of the investigator and in consultation with the medical monitor. After C1D15, dose reductions or interruptions for AEs could take place at any time. Reduction/interruption of dosing for AEs could take place at any time in part 2.

The study was conducted in compliance with the protocol, Good Clinical Practice, and the applicable regulatory requirements (including International Conference on Harmonisation guidelines) and in accordance with ethical principles founded in the Declaration of Helsinki. All participants gave written informed consent. All authors had access to the data on request.

### Study objectives

The primary objective in part 1 was to determine the safety and tolerability of escalating doses of pexidartinib and establish an

RP2D in patients with relapsed or refractory *FLT3*-ITD<sup>+</sup> AML. The primary objective in part 2 was to determine the overall response rate (ORR) of pexidartinib at the RP2D.

## Study population

Eligibility required a diagnosis of primary AML or secondary AML as per the World Health Organization criteria. Marrow involvement by leukemia was required at screening for enrollment in part 2. Patients were required either to be refractory to initial induction attempt (up to 2 cycles of standard cytotoxic chemotherapy) or to have relapsed after prior CR. The presence of an *FLT3*-ITD mutation was required from blood or marrow testing at screening using the *FLT3*-ITD/wild type allelic ratio cutoff defined by local laboratory testing. Patients with history of *FLT3*-ITD<sup>+</sup> leukemia who did not have demonstrable *FLT3*-ITD at screening could be enrolled after discussion with the study medical monitor. Prior *FLT3* inhibitor therapy was allowed, but those with a history of *FLT3*-TKD mutations at D835 (or for part 2, their presence at screening) were excluded from participation. Patients were required to have resolution of toxicities from prior therapy to grade  $\leq 1$ , adequate hepatic and renal function at baseline, and Eastern Cooperative Oncology Group performance status of 0 to 2. Patients with prior hematopoietic stem cell transplantation (HSCT) were eligible as long as they were  $>60$  days from transplantation and did not have clinically significant graft-versus-host disease at screening (part 1 only). Patients with diagnosis of acute promyelocytic leukemia, chronic myeloid leukemia, central nervous system leukemia, or active malignancy; with prolonged corrected QT interval by Fredericia ( $>450$  ms for men;  $>470$  ms for women); or who had received treatment with any investigational drug within 28 days were excluded. Patients with refractory nausea/vomiting, gastrointestinal malabsorption, or other serious concurrent uncontrolled illness were also excluded.

## Response assessment

Response to treatment was evaluated using a modified version of the International Working Group response criteria.<sup>26,27</sup> Responses were defined per standard criteria except that participants achieving a CR with incomplete hematologic recovery (CRi) or a partial response (PR) were not required to be transfusion independent<sup>26</sup> (data supplement provides detailed response criteria). The primary efficacy end point was the rate of CRc, which included CR, CRi, and CR with incomplete platelet recovery (CRp) based upon modified International Working Group response criteria, plus the percentage of patients who were successfully bridged to transplantation, defined as any patient who discontinued pexidartinib treatment specifically for the purpose of undergoing HSCT and who subsequently received the planned transplant. Secondary end points included PR, duration of remission, overall survival (OS), and progression-free survival (PFS). PFS was defined as the number of days from the start of therapy to the date of documented disease progression/relapse or death, whichever occurred first.

## Results

### Patient characteristics and disposition

A total of 90 patients with R/R *FLT3*-ITD<sup>+</sup> AML were enrolled in the study (part 1, n = 34; part 2, n = 56) and received at least 1 dose of pexidartinib. Patients were enrolled at 8 sites in the United States.

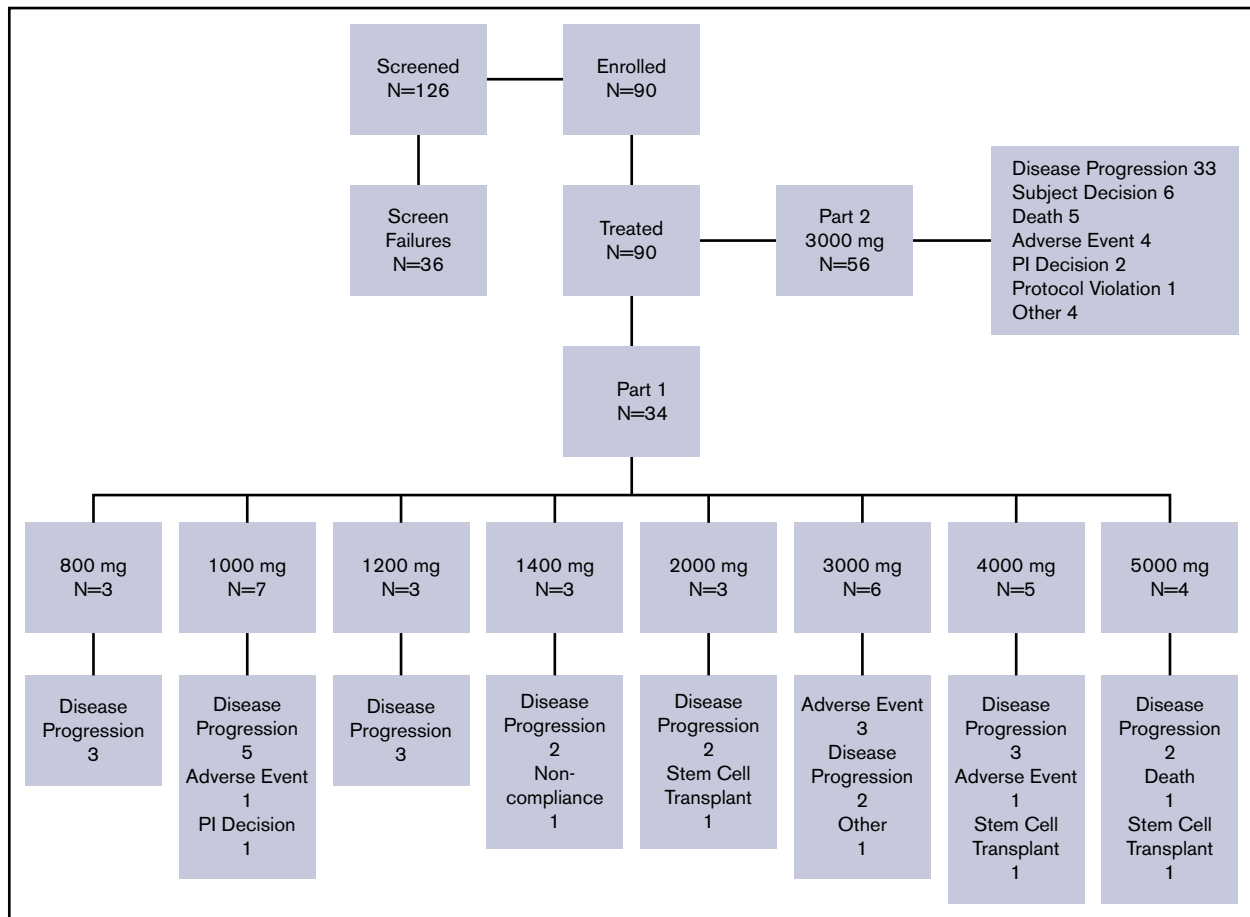
**Table 1. Summary of demographics and AML history**

Characteristic	Part 1 (n = 34)	Part 2 (n = 56)
<b>Age, y</b>		
Mean	60.6	55.9
Standard deviation	14.6	14.6
Minimum-maximum	24-82	22-83
<b>Sex</b>		
Male	13 (38)	33 (59)
Female	21 (62)	23 (41)
<b>Race</b>		
American Indian or Alaskan Native	1 (3)	0
Asian	1 (3)	3 (5)
Black or African American	7 (21)	7 (13)
White	21 (62)	35 (63)
Other	4 (12)	11 (20)
<b>Ethnicity</b>		
Hispanic or Latino	1 (3)	5 (9)
Not Hispanic or Latino	33 (97)	51 (91)
<b>ECOG performance status</b>		
Fully active (0)	6 (18)	9 (16)
Restricted (1)	17 (50)	33 (59)
Ambulatory (2)	11 (32)	14 (25)
<b>AML category at diagnosis</b>		
De novo	26 (76)	44 (79)
Secondary to MDS	4 (12)	2 (4)
Secondary to chemotherapy for another cancer	2 (6)	4 (7)
Unknown	2 (6)	6 (11)
<b>FLT3-ITD<sup>+</sup> at diagnosis</b>		
Yes	30 (88)	45 (80)
No	2 (6)	4 (7)
Unknown	2 (6)	7 (13)
Prior <i>FLT3</i> inhibitor therapy	14 (41)	12 (21)
<b>Prior allogeneic transplantation</b>		
Yes	7 (21)	21 (38)
No	27 (79)	34 (61)
Unknown	0	1 (2)
<b>No. of prior AML therapies</b>		
Median	4	4
Range	2-12	1-10

Values are n (%) unless otherwise noted.

ECOG, Eastern Cooperative Oncology Group; MDS, myelodysplastic syndrome.

The first patient was enrolled on 21 November 2011, and the data cutoff date was 20 January 2015. Demographics and baseline disease characteristics are shown in Table 1. Median age of patients was 62.5 years (range, 24-82 years) in part 1 and 59.5 years (range, 22-83 years) in part 2. A lower percentage of male patients (38%) were enrolled in part 1, but a higher percentage of men were enrolled in part 2 (59%). Of all 90 patients, 70 had de novo AML and 12 had AML secondary to an antecedent hematologic disorder. In 8 patients, it was not known if



**Figure 1. Patient disposition.** PI, principal investigator.

AML was de novo or secondary. At screening, 89 (99%) of the enrolled patients were *FLT3*-ITD mutation positive, and 1 patient with a history of *FLT3*-ITD was mutation negative. A total of 28 patients (31%) had undergone prior allogeneic transplantation. Median number of prior AML therapies was 4 (range, 1-12; supplemental Figure 1); 27 patients (30%) had received prior FLT3 inhibitor therapy, most commonly sorafenib (n = 23; 26%) and/or quizartinib (n = 5; 6%), and 1 patient had participated in a randomized clinical trial of PKC412; a majority of patients had received 1 prior FLT3 inhibitor, but 2 (7.4%) of 27 patients had received both quizartinib and sorafenib. Patient disposition is shown in Figure 1.

## Safety

In part 1 dose escalation, pexidartinib was investigated at 8 dose levels (800-5000 mg). One patient in the 1000-mg dose group experienced grade 3 bilirubin elevation, which was a DLT but considered unrelated to study treatment. Although this AE was considered unrelated to pexidartinib, additional patients were enrolled in the 1000-mg dose group (n = 7). Patients in the next 2 dose cohorts, 1200 (n = 3) and 1400 mg (n = 3), completed the 15-day observation period without the occurrence of a DLT, and the dose was escalated to 2000 mg. One patient in the 2000-mg dose group experienced a DLT of atrial fibrillation, considered unrelated

to study medication. The DLT was not discovered until later medical review of the data, and the 2000-mg dose cohort was not expanded. The dose was escalated 3 additional times, to 3000, 4000, and 5000 mg. No protocol-defined DLTs were reported. Evaluation of available pharmacokinetic (PK) data indicated that pexidartinib plasma exposure levels peaked at ~3000 mg per day and that higher dose levels did not provide higher plasma levels.

**Table 2. Summary of all TEAEs that occurred in ≥20% of patients**

Preferred term	Grade 1-2	Grade ≥3	All grades
Diarrhea	44 (49)	1 (1)	45 (50)
Fatigue	33 (37)	9 (10)	42 (47)
Nausea	40 (44)	1 (1)	41 (46)
Febrile neutropenia	0 (0)	38 (42)	38 (42)
Decreased appetite	31 (34)	2 (2)	33 (37)
Vomiting	32 (36)	1 (1)	33 (37)
Cough	26 (29)	0 (0)	26 (29)
Anemia	9 (10)	15 (17)	24 (27)
Hypokalemia	16 (18)	6 (7)	22 (24)
Aspartate aminotransferase increased	14 (16)	5 (6)	19 (21)
Dyspnea	15 (17)	3 (3)	18 (20)

Values are n (%). TEAE defined as AE with start date on or after C1D1.

**Table 3. Mean plasma PK parameters of pexidartinib in AML patients after oral administration for 1 day (C1D1) and 15 days (C1D15)**

Daily dose, mg (cohort)	C1D1			C1D15		
	C <sub>max</sub> , ng/mL	AUC <sub>0-4</sub> , ng × h/mL	AUC <sub>0-6</sub> , ng × h/mL	C <sub>max</sub> , ng/mL	AUC <sub>0-4</sub> , ng × h/mL	AUC <sub>0-6</sub> , ng × h/mL
<b>800 (part 1, 1)</b>						
n	3	3	3	3	3	3
G-mean	1148	3300	5184	4134	12340	20147
CV, %	133	121	111	47.4	78.9	64.2
<b>1000 (part 1, 2)</b>						
n	6	6	6	5	5	5
G-mean	2163	4566	8008	7294	22949	34013
CV, %	44.6	63.8	48.7	25.7	31.6	37.2
<b>1200 (part 1, 3)</b>						
n	3	3	3	3	3	3
G-mean	2016	5392	8171	4291	14870	21595
CV, %	92.5	92.2	93.7	110	103	112
<b>1400 (part 1, 4)</b>						
n	3	3	3	3	3	2
G-mean	2534	5510	8868	7593	25121	30857
CV, %	96.3	139	101	40	37.4	36.3
<b>2000 (part 1, 5)</b>						
n	3	3	3	3	3	3
G-mean	3493	7159	12133	10633	37214	54464
CV, %	117	153	97.5	61.2	53.6	48
<b>3000 (part 1, 6)</b>						
n	6	6	6	5	5	4
G-mean	6132	16550	24986	12124	38834	58329
CV, %	51.6	58.6	67.5	45.1	42	47.6
<b>4000 (part 1, 7)</b>						
n	5	5	5	4	4	4
G-mean	3669	6530	12766	10406	34446	51415
CV, %	57.6	166	101	45.8	54.3	47.3
<b>5000 (part 1, 8)</b>						
n	4	4	4	4	4	4
G-mean	4714	11701	18881	11987	39477	56460
CV, %	35.8	27.6	35.6	35.6	43.2	44.3
<b>3000 (part 2)</b>						
n	56	56	55	48	48	47
G-mean	4816	10910	18495	12794	43067	62451
CV, %	66	62.6	60.9	30.8	28	28.8

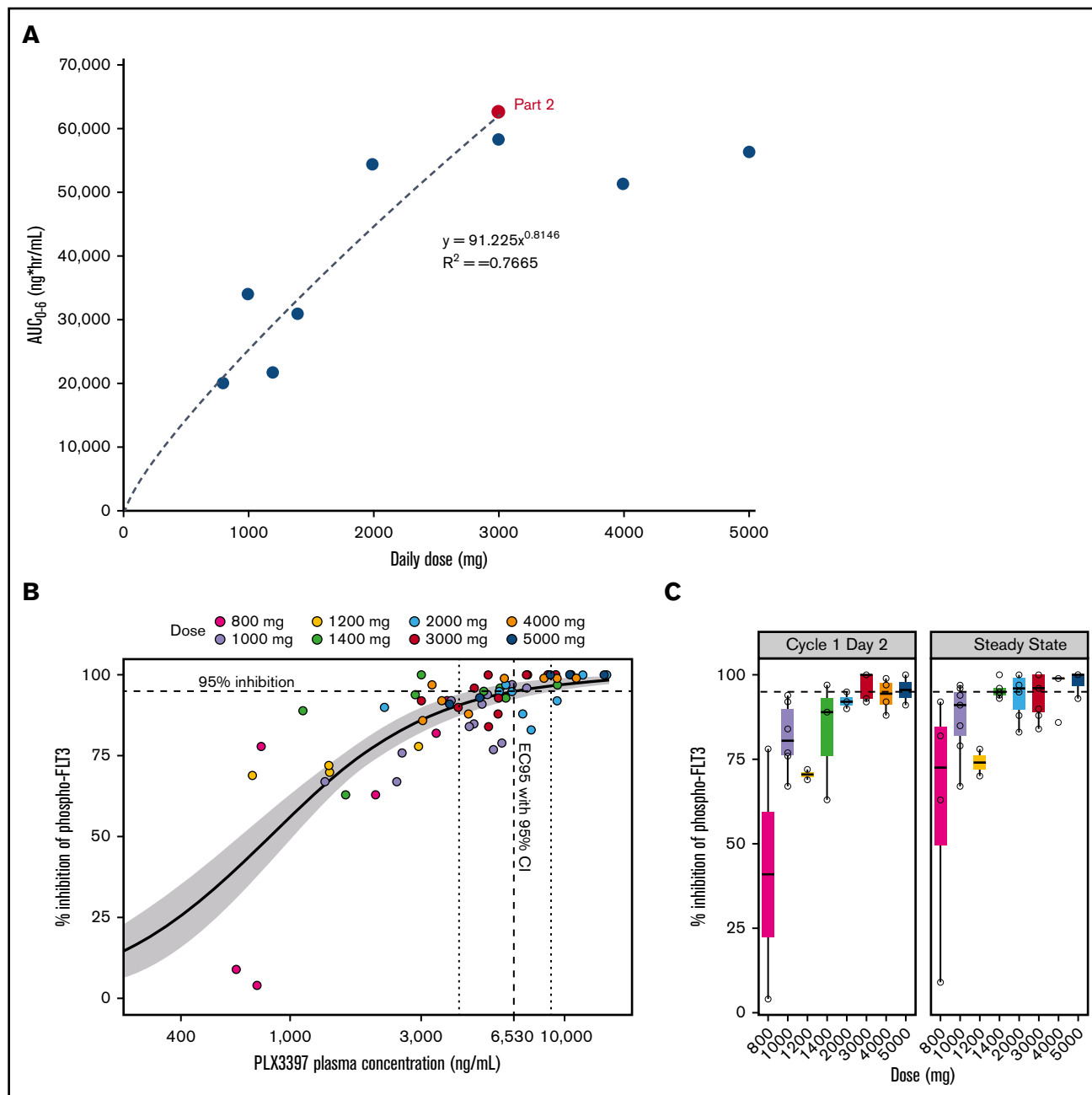
AUC<sub>0-4</sub>, area under the curve from d 0 to d 4; AUC<sub>0-6</sub>, AUC from d 0 to d 6; C<sub>max</sub>, maximum serum concentration; CV, coefficient of variation; G-mean, geometric mean.

After extensive discussion, all investigators and the sponsor agreed that pexidartinib at 3000 mg per day was the appropriate R2PD. This dose was used in all 56 patients enrolled in part 2 dose expansion.

A summary of AEs is shown in supplemental Table 1. All patients (n = 90; 100%) who received study medication during this study experienced at least 1 AE. The most frequent treatment-emergent AEs (TEAEs) of pexidartinib are summarized in Table 2. A total of 81 patients (90%) experienced an AE that was Common Terminology

Criteria for Adverse Events grade ≥3 (supplemental Table 2). A summary of all TEAEs occurring in the first 30 days of treatment is shown in supplemental Table 3. The most frequently reported TEAE was diarrhea (50% of all patients), followed by fatigue (47%), nausea (46%), and febrile neutropenia (42%). The most frequently reported TEAE that led to changes in study medication administration was febrile neutropenia (12%), followed by sepsis (6%) and aspartate aminotransferase increase (6%). Of note, 4 patients, all treated at 3000 mg, experienced a change in hair color, an





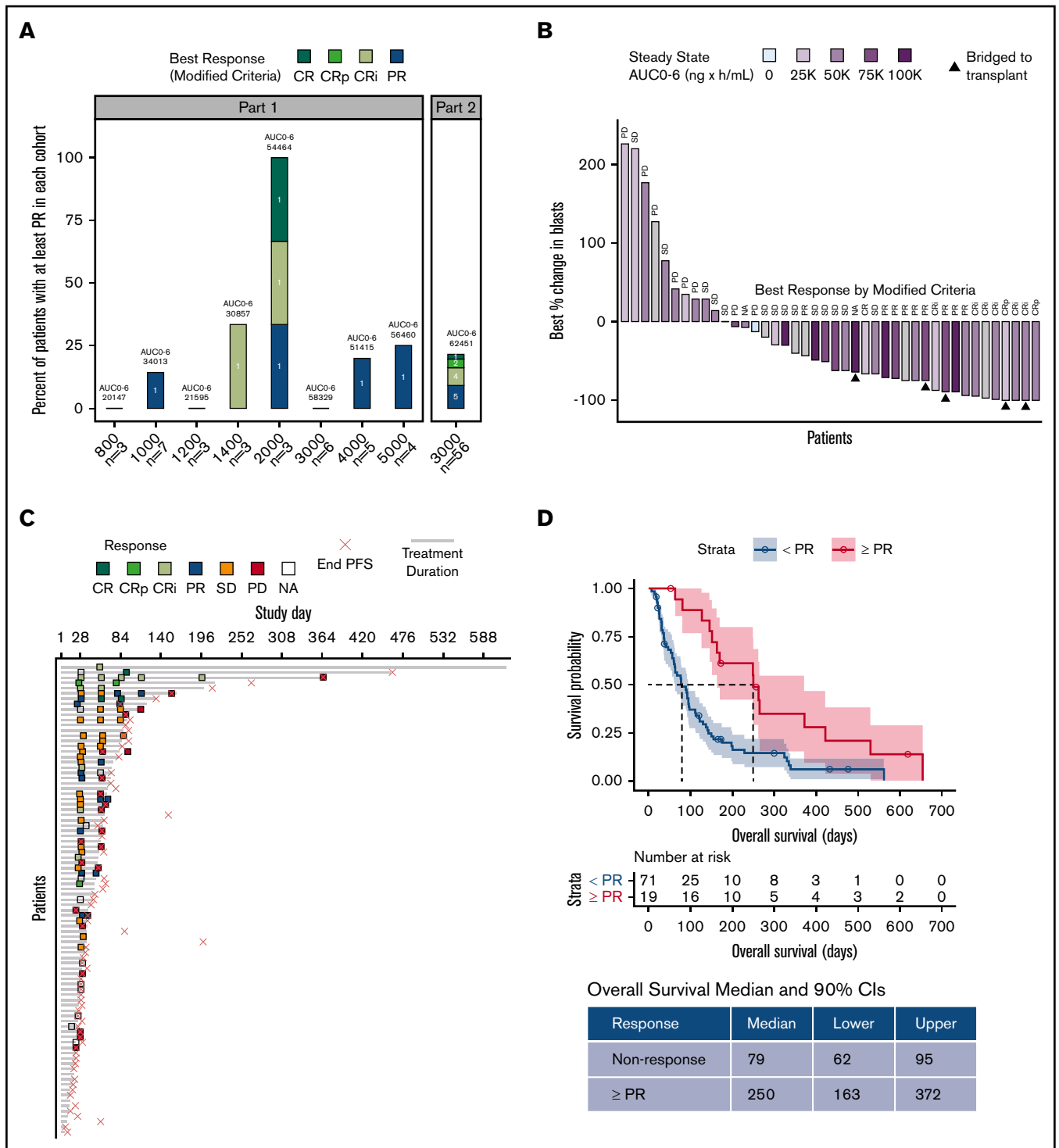
**Figure 2. PKs and plasma inhibitory activity (PIA).** (A) Dose proportionality in the steady-state plasma exposures of PLX3397 (pexidartinib) in AML patients. (B-C) In part 1 of the study, plasma samples from 29 patients across 8 dosing cohorts were collected and evaluated for PIA in the FLT3-ITD-containing human AML cell line Molm14. Predose samples were collected on day 1, day 2, and at steady state (day 15 or 29). (B) Inhibition of pFLT3 by PIA is concentration dependent with an EC95 of 6530 ng/mL. (C) Inhibition of phosphorylated (phospho) FLT3 by PIA increases with dose and is  $\geq 95\%$  at  $\geq 3000$  mg for both day 2 and steady-state samples.

associated AE of potent inhibition of c-KIT,<sup>28</sup> a known target of pexidartinib. The most frequent grade  $\geq 3$  TEAE was febrile neutropenia (42%). Twelve patients (13%) experienced fatal AEs during this study: 3 during part 1 and 9 during part 2. Causes of death were sepsis (n = 5), pneumonia (n = 2), pneumonia aspiration (n = 1), respiratory failure (n = 1), cardiac arrest (n = 1), cytokine release syndrome (differentiation syndrome; n = 1), and cerebral hemorrhage (n = 1). In 11 of 12 patients, study drug had been discontinued before death. All AEs that resulted in death were

considered unrelated to study medication, with the exception of 1 patient in part 2 who experienced grade 5 cytokine release syndrome as a result of treatment-induced differentiation syndrome, a known potential complication of FLT3 inhibitor response.<sup>29</sup>

Patients were withdrawn from study because of disease progression (n = 55), AE (n = 9), death (n = 6), patient decision (n = 6), other (n = 5), investigator decision (n = 3), elective HSCT (n = 3), protocol violation (n = 1), and noncompliance (n = 1). There were no





**Figure 3. Best clinical response, treatment duration, and survival.** (A) Number and proportion of best response (modified criteria) per patient by cohort. Includes all patients with a best response of at least PR. Bars are overlaid with the geometric mean of steady-state  $AUC_{0-6}$  (ng × h/mL) for each cohort. The ORR for the study was 19 (21%) of 90 and CRc rate is 10 (11%) of 90. (B) Best percentage change in blasts is shown for individual patients, and bars are blue gradient-filled with steady-state  $AUC_{0-6}$ . Gray-filled bars indicate that  $AUC_{0-6}$  was not determined. Patients who were successfully bridged to transplantation are shown with solid triangles. Best response by modified criteria is indicated at the top of each bar. (C) Treatment duration is represented by a gray line drawn from C1D1 to the day of last known dose. If last dose day is unknown, gray line extends to the day of study discontinuation or database lock. Response assessments by modified criteria are shown with color-coded boxes. Empty boxes indicate that a patient was not assessable (NA). The day of disease progression or death (end PFS), whichever came first, is marked with a red "x." In part 1 of the study, 2 patients were successfully bridged to transplantation (07\_002 [2000 mg] and 07\_004 [5000 mg]), discontinued the study drug to undergo HSCT, and did not resume pexidartinib treatment. In part 2, 4 patients were successfully bridged to transplantation. Of these, 2 patients (03\_009 and 06\_013) resumed maintenance treatment with pexidartinib, as

apparent trends in changes in chemistry or hematology parameters throughout the study. No clinically significant electrocardiogram abnormalities were observed at the end of treatment assessment. Echocardiogram/multigated acquisition scan evaluation of ejection fraction (EF) was not originally included in the study protocol but was added because of grade 3 decreased EF noted in 1 patient with unknown baseline EF treated at 5000 mg. Echocardiogram/multigated acquisition assessment was performed for 3 patients in part 1 and for 19 patients in part 2. Two of these patients in part 2 had >10% decrease in EF (grade 2,  $n = 1$ ; grade 3,  $n = 1$ ). Assessment of lung diffusion capacity and lung computed tomography scan were also added later in the study because of 2 early reports of pneumonitis (grades 1 and 2) and 1 report of grade 3 dyspnea with bilateral opacities on chest radiograph thought to be possibly drug related. Results of the diffusion capacity assessment demonstrated impaired lung function in some patients but did not change with drug treatment. Clinically significant abnormal computed tomography scans were noted in 9 patients; in 6 of these 9 patients, abnormalities were considered to have resulted from infection; in 3 of 9, no potential etiology was given for diffuse ground glass opacities ( $n = 1$ ), small pulmonary nodules ( $n = 1$ ), or pleural effusion ( $n = 1$ ).

### PKs and pharmacodynamics

The PK population of study PLX108-05 consisted of 90 patients in part 1 and part 2. The C1D1 and C1D15 PK parameters are summarized in Table 3. The C1D15 exposures, as assessed by maximum serum concentration,  $AUC_{0-4}$ , and  $AUC_{0-6}$ , were more than twofold higher than C1D1 exposures at all 8 dose levels (Table 3), indicating significant accumulation at steady state. Nearly dose-proportional increase in steady-state (C1D15) exposure was observed from the 800- to 3000-mg daily dose ( $AUC_{0-6}$ , 20 147-58 329  $ng \times h/mL$ ) in part 1 patients (Table 3). When the relationship between dose and steady-state (C1D15) exposure was investigated using the power model,  $\log(AUC_{0-6}) = a + (b \times \log[\text{dose}])$ , the intercept  $a$  and slope  $b$  were determined to be 1.96 and 0.8146, respectively, with an  $R^2$  of 0.7665 (Figure 2A). Further increase in exposure was not observed at doses >3000 mg. At steady state, the geometric mean  $AUC_{0-6}$  values at 3000-, 4000-, and 5000-mg doses ( $n = 4$  for each dose) were 58 329, 51 415, and 56 460  $ng \times h/mL$ , respectively (Table 3), with significantly overlapping 90% confidence intervals (CIs; 34 256-99 317, 30 300-87 245, and 34 318-92 888  $ng \times h/mL$ , respectively). The relatively small sample size used in this study, however, did not allow a definitive conclusion on the plateauing of PKs at 3000 mg. It is possible that AUCs might be higher with 4000- and 5000-mg doses. However, PK improvement, if any, from a dose >3000 mg is likely to be offset by the challenges of greater pill burden. The 3000-mg dose was therefore chosen as the RP2D and administered in part 2 of the study. The geometric mean steady-state exposure ( $AUC_{0-6}$ , 62 451  $ng \times h/mL$ ; 90% CI, 58 280-66 919  $ng \times h/mL$ ) in part 2 patients ( $n = 47$ ) was similar to the mean exposure in part 1 patients at the RP2D ( $AUC_{0-6}$ , 58 329  $ng \times h/mL$ ; Table 3). Note that this study was not powered to determine the effects of dose-independent factors

(eg, food, hepatic or renal impairment, drug-drug interactions) on the exposure of pexidartinib.

### Efficacy

Based on modified response criteria, the ORR to pexidartinib (CR, CRi, CRp, and PR) for all treated patients was 21% ( $n = 19$  of 90). The overall CRc (CR, CRi, and CRp) rate for the study was 11% ( $n = 10$  of 90). Of patients treated at  $\geq 2000$  mg, 23% ( $n = 17$  of 74) responded (CRc,  $n = 9$  [12.2%] of 74; Figure 3A). One patient who received 2000 mg in part 1 and 1 patient in part 2 achieved CR. Two patients in part 1 (1400- and 2000-mg dose groups) achieved CRi. Six patients in part 2 achieved CRi or CRp. Four patients in part 1 (1000, 2000, 4000, and 5000 mg) achieved PR, and 5 patients in part 2 achieved PR. Six patients underwent HSCT (3 of 6 after response; PR,  $n = 1$ ; CRi,  $n = 1$ ; CRp,  $n = 1$ ). After a protocol amendment to allow maintenance after HSCT, 3 patients bridged to transplantation resumed maintenance therapy with pexidartinib. Of the 3 patients who received pexidartinib maintenance, 2 discontinued maintenance (1 of these patients relapsed 6 months after transplantation, and 1 relapsed 2 years after transplantation), and 1 patient (05\_017) was still alive on treatment without relapse at the time of database lock. Of the remaining 3 patients, 2 relapsed <100 days posttransplantation, and 1 was lost to follow-up. A majority of evaluable patients had some reduction in their marrow blast counts ( $n = 31$  [74%] of 42; Figure 3B). Looking at the best percentage change in blasts by steady-state exposure showed a weak but significant exposure-response relationship (Pearson's 1-sided correlation test  $r = -3.06$ ;  $P = .037$ ; supplemental Figure 2). However, no significant difference in steady-state exposure was noted between responders (achieving CRc) and nonresponders (supplemental Figure 2).

For patients in part 1, median duration of response was 74 days, median PFS was 57 days, and median OS was 91 days. For patients in part 2, median duration of response was 76 days, median PFS was 48 days, and median OS was 112 days (Figure 3C). Median time to best response was 30 days in both part 1 and part 2. In responders ( $\geq PR$ ), median PFS and OS were extended by 98 and 171 days, respectively, compared with nonresponders (Figures 3D; supplemental Figure 3). Median OS of complete responders (CRi, CRp, or CR) was 265 days (90% CI, 170-422 days; supplemental Figure 4). Median duration of CRc (from 9 responders evaluable for duration of response) was 212 days (90% CI, 37-370 days). Median PFS and OS for patients dosed at  $\geq 3000$  mg (RP2D, 3000 mg) were not significantly different than for patients who received lower doses (supplemental Figure 5). We also found that FLT3-ITD length did not affect PFS (Cox proportional hazards hazard ratio, 0.998; 90% CI, 0.9925-1.004;  $P = .6$ ; supplemental Data; supplemental Figure 6). In this heavily pretreated population, the observed response rate was lower in FLT3 TKI-pretreated patients. Four (14.8%) of 27 patients with FLT3 TKI pretreatment were responders (CR,  $n = 1$ ; PR,  $n = 3$ ) compared with 15 (23.8%) of 63 FLT3 TKI-naïve patients (supplemental Figure 7). In all 4 responders, the prior FLT3 TKI treatment was sorafenib. OS did not

**Figure 3. (continued)** provided for by protocol amendment 7, but later discontinued treatment because of an AE or voluntary withdrawal from the study. One patient (05\_017) resumed treatment with pexidartinib and was still on treatment at database lock, and 1 patient (06\_022) did not resume study treatment. (D) OS stratified by response ( $\geq PR$ ). PD, progressive disease; SD, stable disease.

differ between patients with prior FLT3 inhibitor exposure and patients who were FLT3 inhibitor naïve (supplemental Figure 7).

To assess the potential clinical activity of pexidartinib in patients expressing FLT3 F691L mutations, we sequenced the FLT3 KD from 42 patients with available blood or bone marrow samples collected before pexidartinib treatment. Four patients had detectable F691L mutations at the time of study drug initiation (supplemental Table 4). All 4 patients were treated below the RP2D of 3000 mg per day (1000 mg, n = 2; 1200 mg, n = 1; 2000 mg, n = 1). Three of the 4 patients had no response to pexidartinib. One patient (5.02), treated at 2000 mg, had an F691L mutation detectable in 2.58% of native FLT3 (non-ITD containing) alleles and achieved a CRi. Of note, at the time of pexidartinib relapse, no F691L mutation was detected, and this patient instead had acquired a new D835Y mutation (data not shown). Additionally, in a previous analysis of 9 patients who achieved response on pexidartinib, no patients had developed new F691L mutations at the time of disease relapse.<sup>25</sup>

## Discussion

Results of this phase 1/2 study demonstrate that pexidartinib was well tolerated and had antileukemic activity in a population of heavily pretreated R/R AML patients with *FLT3*-ITD mutations. No MTD of pexidartinib was reached in this study. The overall safety profile of pexidartinib in this study was similar to that of other FLT3-targeted inhibitors in clinical development.<sup>10,11</sup> As expected in patients with acute leukemia, treatment-emergent infectious complications, such as febrile neutropenia, sepsis, and pneumonia, were common and resulted in death in 8% of patients.

Pexidartinib demonstrated clear antileukemic activity in *FLT3*-ITD<sup>+</sup> AML. The ORR to pexidartinib for all treated patients was 21%, with a CRc rate of 11%. Six patients in the study were successfully bridged to allogeneic stem cell transplantation. Overall, the single-agent response rate for pexidartinib was lower than the ~40% to 50% CRc rates observed with quizartinib<sup>9,10</sup> or gilteritinib<sup>11</sup> in similar patient populations. The prior use of other type 2 FLT3 inhibitors, such as sorafenib and quizartinib, in a large proportion of enrolled patients may have contributed to primary resistance (27 [30%] of 90 the patients comprising the overall study population had receiving prior FLT3 TKI treatment). However, the response rate in patients without prior TKI treatment was not substantially different than that of the study population as a whole (15 [23.8%] of 63 patients without prior TKI treatment responded). The lower response rate to pexidartinib may also be attributable to the overall lower potency of pexidartinib compared with either quizartinib or gilteritinib (~1000 or 100 times less potent, respectively, in cell-based assays).<sup>25,30,31</sup> In keeping with this idea, the dose required for >95% median inhibition of FLT3 phosphorylation assessed by plasma inhibitory activity was ≥3000 mg. Our PK data suggest that 3000 mg achieved the maximal possible pexidartinib drug level in patients and that higher doses did not result in increased exposure. Because prolonged and potent inhibition of FLT3 kinase activity is an important prerequisite to achieve clinical response,<sup>32</sup> it is possible that inability to achieve sufficient exposure to pexidartinib limited clinical response in a significant proportion of patients, as suggested by the exposure-response correlation shown in supplemental Figure 2. Notably, 4% of patients experienced treatment-emergent changes in hair color, indicative of in vivo kinase inhibition

of c-KIT,<sup>28</sup> a kinase target against which pexidartinib has activity equivalent to its activity against FLT3-ITD.

Resistance-associated FLT3 KD mutations have been an important cause of clinical resistance to all FLT3 TKIs to date, particularly type 2 inhibitors. Pexidartinib is no exception to this paradigm, and we previously reported the acquisition of polyclonal FLT3-ITD KD mutations, including D835 mutations, at the time of disease progression in 6 of 9 pexidartinib responders.<sup>25</sup> Conversely, the development of acquired resistance to pexidartinib as a result of FLT3-ITD KD mutations confirms that the clinical activity of pexidartinib is mediated by inhibition of FLT3 and not by another of its targets, such as KIT or CSF-1R. Although pexidartinib is vulnerable to D835 mutations commonly found in association with clinical resistance to quizartinib and sorafenib, it does have potent activity against the FLT3 F691L gatekeeper mutation also commonly associated with acquired FLT3 TKI resistance. AML patients treated with quizartinib,<sup>14</sup> sorafenib,<sup>15</sup> sunitinib,<sup>15</sup> gilteritinib,<sup>21</sup> and crenolanib<sup>19</sup> have all developed clinical resistance as a result of FLT3 F691 mutations. FLT3 F691L mutations are the most common KD mutations associated with clinical resistance to type 1 FLT3 TKIs crenolanib and gilteritinib. Given the recent FDA approval of gilteritinib in R/R FLT3-mutant AML, F691L mutations may become a more commonly encountered cause of relapse in patients. Because all currently FDA-approved FLT3 inhibitors except midostaurin have demonstrated in vitro<sup>18</sup> and/or clinical<sup>15,21</sup> vulnerability to FLT3 F691L mutations, and midostaurin has no single-agent efficacy in this disease,<sup>7</sup> pexidartinib may have a potential role in the treatment of patients resistant to gilteritinib (or other FLT3 TKIs) who relapse with F691L mutations. Unfortunately, in this study, only a small minority of patients treated below the R2PD were known to have F691L mutations before pexidartinib initiation, although 1 patient treated at 2000 mg did respond. Additionally, unlike with other FLT3 TKIs,<sup>14,15,19,21</sup> the F691L mutation was not associated with pexidartinib relapse in a limited analysis of 9 responding patients.<sup>25</sup> However, future studies will be required to determine the true clinical activity of pexidartinib in patients with FLT3 F691L mutations. Recently, translational studies in patients treated with crenolanib<sup>19</sup> and gilteritinib<sup>21</sup> have also established a variety of off-target mechanisms, particularly Ras pathway mutations, as important mediators of FLT3 TKI resistance. Despite activity against FLT3 F691L mutations, it is likely that pexidartinib will also be vulnerable to these non-FLT3-dependent mechanisms of resistance. It is also possible that the unique kinase profile of pexidartinib may contribute to clinical activity in AML in patients without FLT3 mutations. For example, a role for the targeting of CSF1R signaling-dependent supporting cells in AML has also been recently described<sup>33</sup> and may be another rationale for the use of pexidartinib in AML patients. Overall, the safety, tolerability, and clinical activity of pexidartinib in the heavily pretreated R/R AML population described in this study are supportive of the further development of pexidartinib in AML.

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## Authorship

Contribution: C.C.S., M.J.L., O.F., J.M.P., G.J.R., R.M.S., E.S.W., A.E.P., and S.K. contributed to data acquisition, analysis and

interpretation, writing and review of the manuscript, and review and approval of the final manuscript; B.L.W., M.H.L., H.H.H., and C.Z. contributed to study design; M.H.L., C.C.S., A.E.P., H.H.H., P.L.S., B.L., C.Z., S.K., and B.L.W. contributed to data analysis; and G.B. contributed to study design and study oversight.

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